

# Patterns of Climate Policy Performance

Or: Exactly how bad is Canadian climate policy?

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# PATTERNS OF CLIMATE POLICY PERFORMANCE

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*by Sebastian Sewerin*  
*Postdoctoral Visiting Scholar*

# The Challenge of Climate Change

5<sup>th</sup> Assessment Report IPCC (2014):

“no ambiguity in the message”; carbon emissions must be cut sharply and rapidly

To limit climate change (+2°) carbon emissions ultimately will have to fall to zero. Goal for 2050: 80% emission reductions (base year 1990).

# Canada and Climate Change

Resurgent interest in efforts of nation-states:

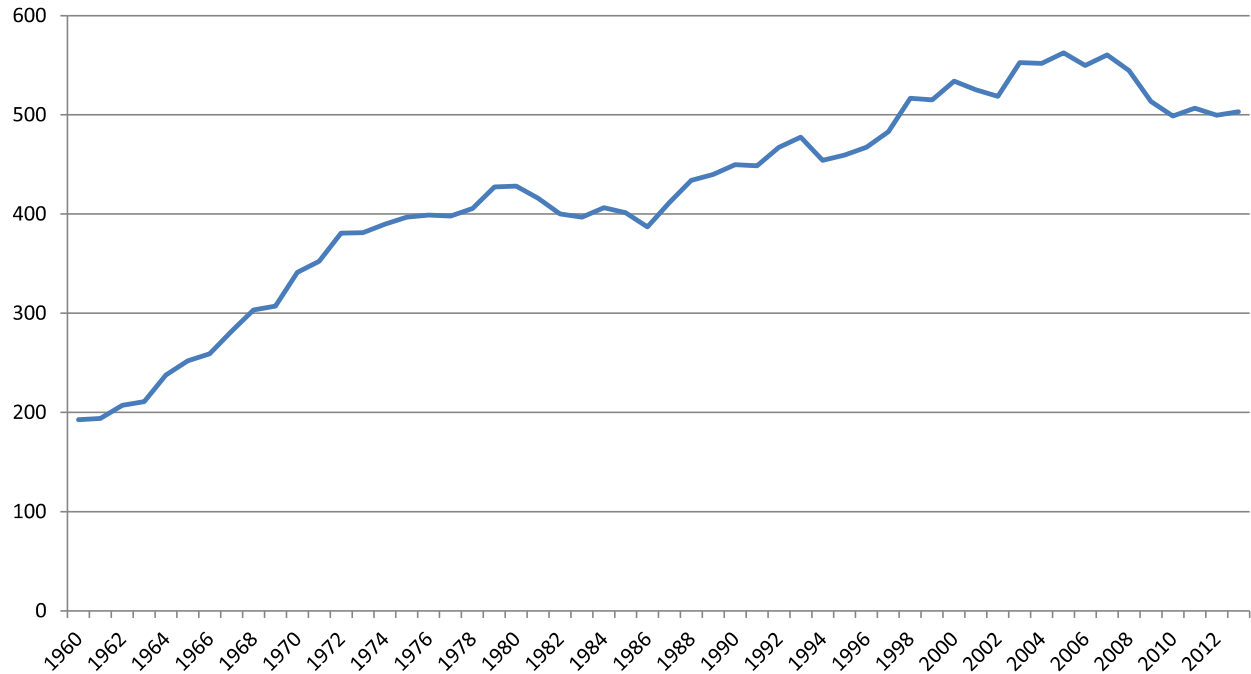
Vital actors in organising political solutions to climate change.

Crucial in designing and implementing (sectoral) policies addressing climate change.

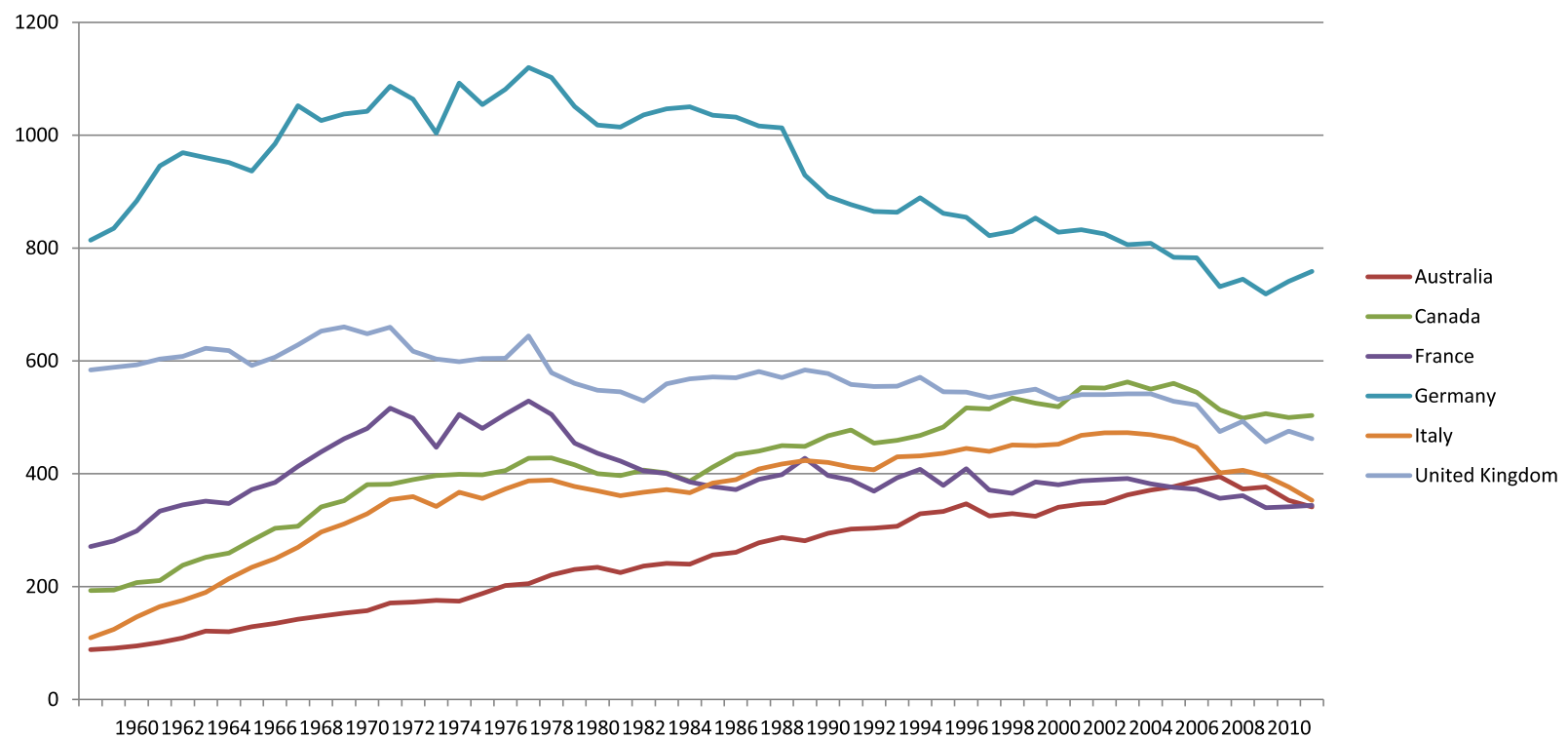
So, what about Canada?

## Some Basic Observations: CO<sub>2</sub> Emissions, Mt (territ.)

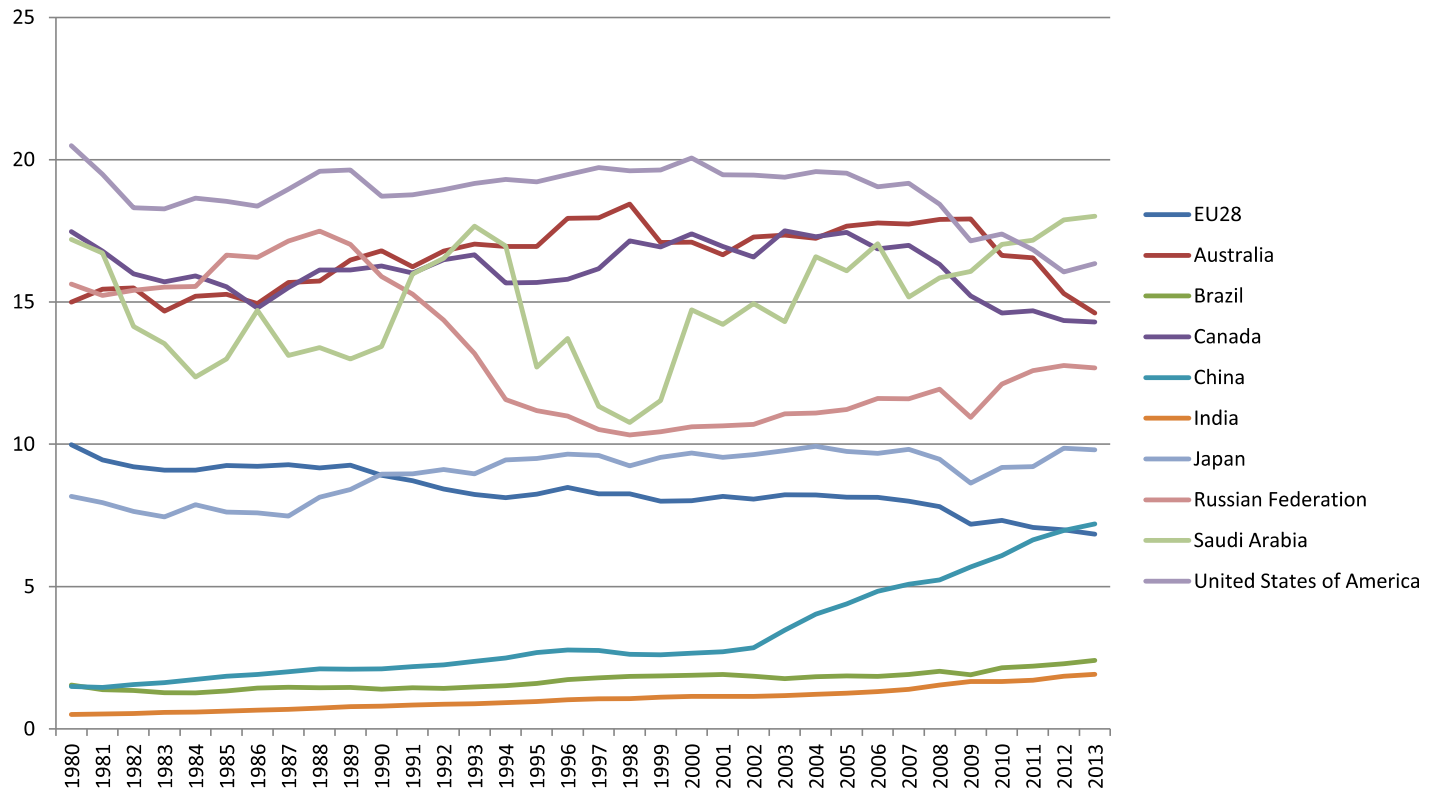
### Canada



## Some Basic Observations: CO<sub>2</sub> Emissions, Mt (territ.)



# Some Basic Observations: CO<sub>2</sub> Emissions, in t per person



# Perceptions of Canadian Climate Policy





# Rankings

## The Climate Change Performance Index Results 2014

Jan Burck, Franziska Marten, Christoph Bals



Table 2

Rank	Country	Score**	
1*	-	-	
2*	-	-	
3*	-	-	
4	Denmark	75.23	
5	United Kingdom	69.66	
6	Portugal	68.38	
7	Sweden	68.10	
8	Switzerland	66.17	
9	Malta	66.06	
10	France	65.90	
11	Hungary	65.17	
12	Ireland	65.01	
13	Iceland	64.89	
14	Belgium	64.66	
15	Morocco	63.99	
16	Romania	63.73	
17	Slovak Republic	63.17	
18	Italy	62.90	
19	Germany	61.90	
20	Mexico	61.50	
21	Lithuania	60.94	
22	Spain	60.37	
23	Luxembourg	60.27	
24	Norway	59.32	
25	Slovenia	59.19	
26	Egypt	59.00	
27	Latvia	58.73	
28	Cyprus	57.61	
29	Austria	57.19	
30	India	57.16	
31	Netherlands	56.99	

comparison with previous year

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Rank	Country	Score**	
32	Finland	56.57	
33	Belarus	56.48	
34	Indonesia	56.24	
35	Ukraine	56.04	
36	Brazil	55.53	
37	Bulgaria	54.87	
38	Thailand	54.51	
39	South Africa	54.04	
40	Czech Republic	53.93	
41	Argentina	53.60	
42	New Zealand	53.49	
43	United States	52.93	
44	Croatia	52.79	
45	Poland	52.69	
46	China	52.41	
47	Greece	51.50	
48	Singapore	50.32	
49	Algeria	49.92	
50	Japan	47.21	
51	Malaysia	47.06	
52	Chinese Taipei	46.81	
53	Korea	46.66	
54	Turkey	46.47	
55	Estonia	45.52	
56	Russian Federation	43.64	
57	Australia	41.53	
58	Canada	40.39	
59	Islamic Rep. of Iran	37.81	
60	Kazakhstan	37.64	
61	Saudi Arabia	25.17	

comparison with previous year

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## Index Categories

- Emissions Level (30% weighting)
- Emissions Development (30% weighting)
- Renewable Energy (10% weighting)
- Efficiency (10% weighting)
- Policy (20% weighting)

## Rating

- Very good
- Good
- Moderate
- Poor
- Very poor

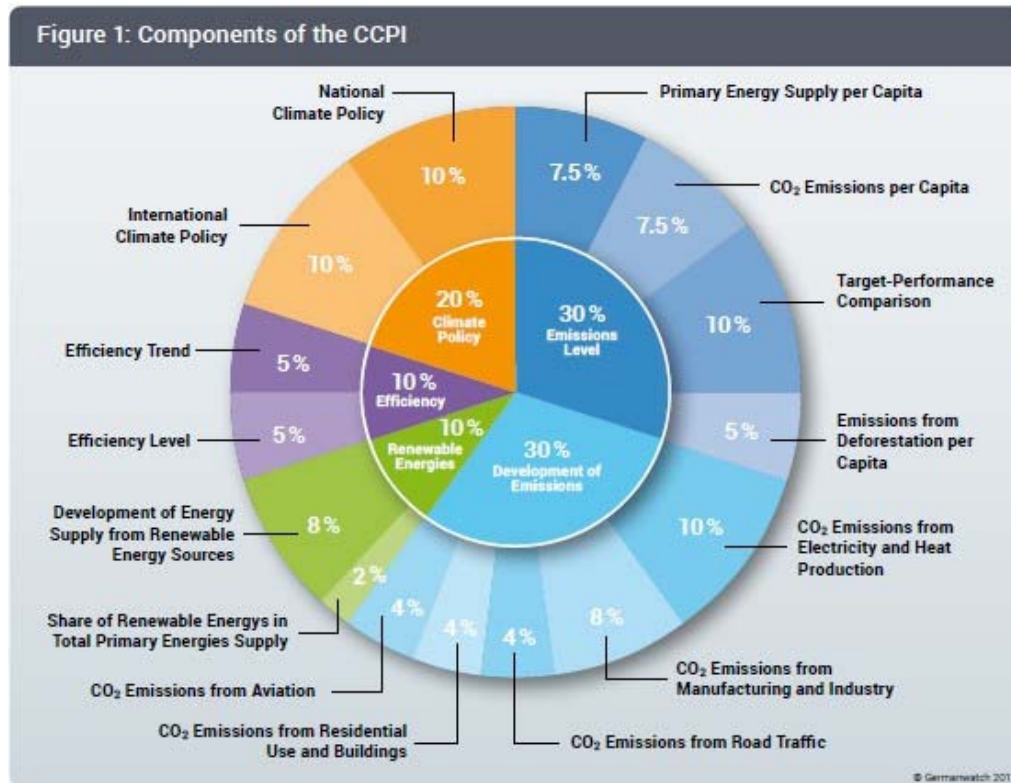
# Climate Change Performance Index 2014

**Table 4: Climate Change Performance Index for OECD Member Countries**

Rank	Country	Score	Rank	Country	Score	Rank	Country	Score
4	Denmark	75.23	17	Slovak Republic	63.17	40	Czech Republic	53.93
5	United Kingdom	69.66	18	Italy	62.90	42	New Zealand	53.49
6	Portugal	68.38	19	Germany	61.90	43	United States	52.93
7	Sweden	68.10	20	Mexico	61.50	45	Poland	52.69
8	Switzerland	66.17	22	Spain	60.37	47	Greece	51.50
10	France	65.90	23	Luxembourg	60.27	50	Japan	47.21
11	Hungary	65.17	24	Norway	59.32	53	Korea	46.66
12	Ireland	65.01	29	Austria	57.19	54	Turkey	46.47
13	Iceland	64.89	31	Netherlands	56.99	57	Australia	41.53
14	Belgium	64.65	32	Finland	56.57	58	Canada	40.39

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# An Example of Composite Performance Indicators



# Assessing and Explaining Climate Policy Performance – The Challenge for Political Science

How to define climate (or environmental) policy  
performance?

How to measure climate policy performance?

How to explain differences in climate policy  
performance?

# Two Perspectives on Performance: Outcome vs. Output

Outcomes: material results of political and socio-economical action in the form of environmental impacts (e.g. GHG emissions, share of renewables)

Output: policies adopted

# Explaining Outcomes: a Broad Literature and Many Open Questions

## Effect of Types of Democracy?

A. Lijphart: Consensus Democracy is Good for the Environment, Majoritarian (“Westminster”) Democracy is Bad!

## Effect of Specific Institutional Characteristics?

unitary vs. federal government?

presidential vs. parliamentary government?

political parties and party systems?

## Democracy vs. Autocracy?



# Research Project 1: An Ideal-Type Analysis

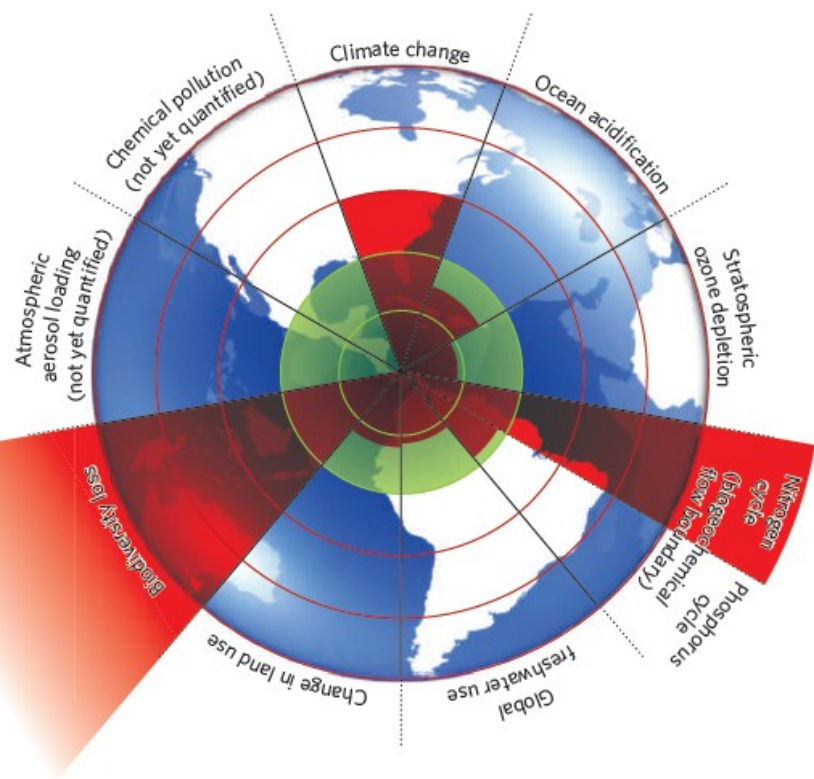


Table 2. Countries' economic performance, 1975–2005

Economic Performance Model	1975–1979	1985–1989	1995–1999	2001–2005
A positive score on all three indicators				
Model A High Growth–High Employment–Low Debt	Australia Austria Denmark France Germany Norway	Australia Finland Portugal Sweden United Kingdom United States	Australia New Zealand Norway Portugal United Kingdom	Australia Ireland New Zealand United States
A positive score on two indicators				
Model B High Growth–Low Debt	Canada France Greece Ireland Italy Portugal United States	Austria France Germany Spain	Finland Ireland New Zealand	Spain
Model C High Growth–High Employment		Canada	Canada Denmark Sweden United States Germany	Canada
Model D High Employment–Low Debt	Denmark Finland New Zealand Sweden United Kingdom	Norway		Denmark Finland Germany Netherlands Norway Portugal Sweden United Kingdom United States
A positive score on one indicator				
Model E High Growth		Belgium Ireland Italy Netherlands Denmark	Belgium Greece Netherlands Spain	Greece
Model F High Employment				Austria
Model G Low Debt	Belgium Netherlands New Zealand Spain	Greece	Austria France	
A positive score on none of the indicators				
Model H Low Growth–Low Employment–High Debt		New Zealand	Belgium Italy	Belgium France Italy



# Explaining Output: Conceptual and Measurement Problems

## Existing Approaches:

Policy Styles; “Leaders” vs. “Laggards”; Policy Diffusion Studies

## Common Underlying Problem:

conceptualising and measuring policy output across cases

## Basic Conceptual Distinction:

“Policy Density” vs. “Policy Intensity” (Knill et al. 2012)

# A Possible Measurement Tool: the Index of Policy Activity

			Policy Content	
		<i>High Level Abstraction</i>	<i>Programme Level Operationalization</i>	<i>Specific On-the-Ground Measures</i>
	<i>Policy Ends or Aims</i>	<b>GOALS</b> <b>What General Types of Ideas Govern Policy Development?</b>  (e.g. environmental protection, economic development)	<b>OBJECTIVES</b> <b>What Does Policy Formally Aim to Address?</b>  (e.g. saving wilderness or species habitat, increasing harvesting levels to create processing jobs)	<b>SETTINGS</b> <b>What are the Specific On-the-ground Requirements of Policy?</b>  (e.g. considerations about the optimal size of designated stream-bed riparian zones, or sustainable levels of harvesting)
<b>Policy Focus</b>				
	<i>Policy Means or Tools</i>	<b>INSTRUMENT LOGIC</b> <b>What General Norms Guide Implementation Preferences?</b>  (e.g. preferences for the use of coercive instruments, or moral suasion)	<b>MECHANISMS</b> <b>What Specific Types of Instruments are Utilized?</b>  (e.g. the use of different tools such as tax incentives, or public enterprises)	<b>CALIBRATIONS</b> <b>What are the Specific Ways in Which the Instrument is used?</b>  (e.g. designations of higher levels of subsidies, the use of mandatory vs voluntary regulatory guidelines or standards)

## Two Starting Points:

Howlett & Cashore's (2009)  
Taxonomy of Policy  
Components (cp. Table)

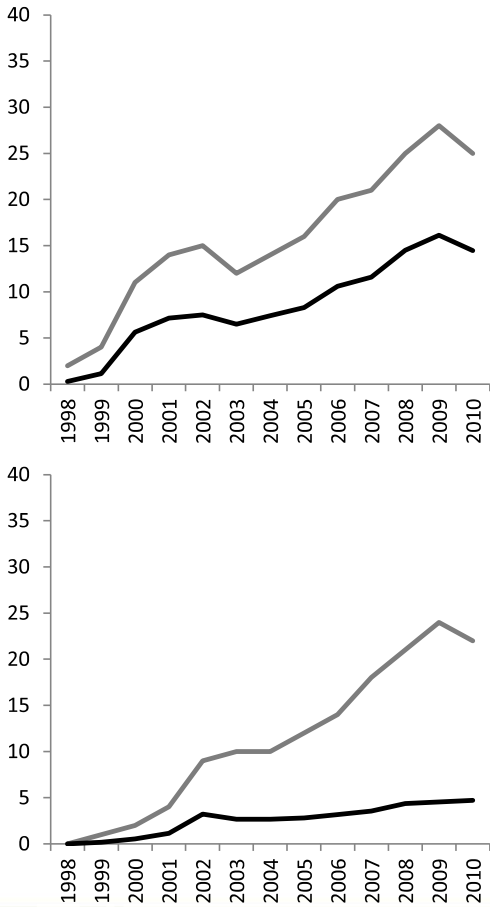
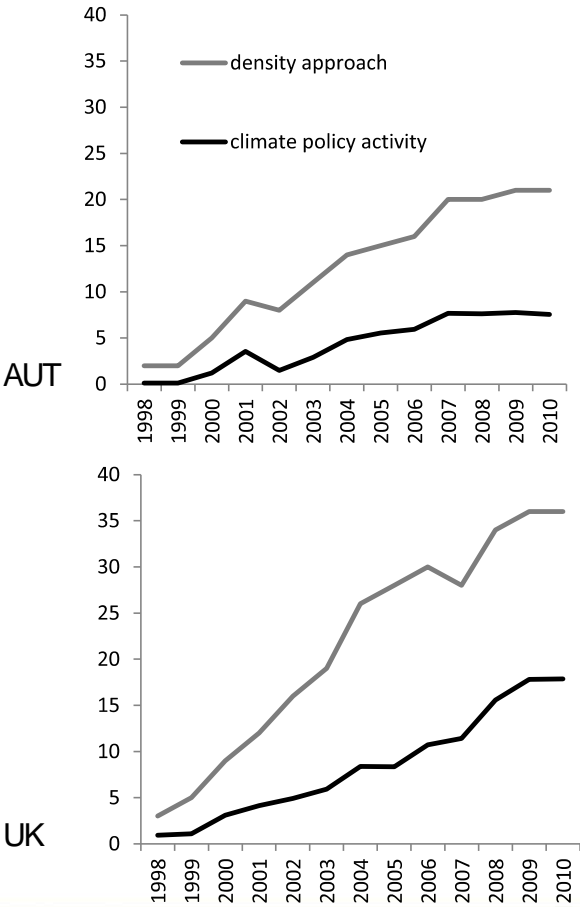
&

Knill et al's (2012) distinction  
between "Policy Density" and  
"Policy Intensity"

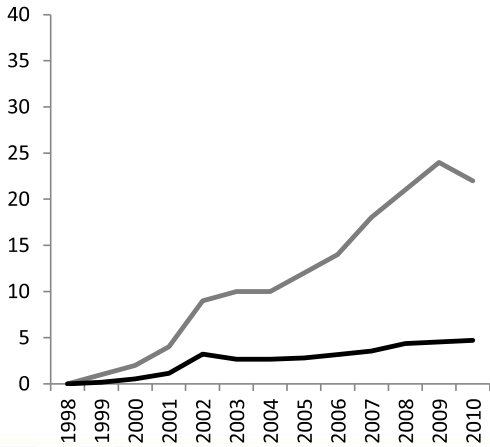
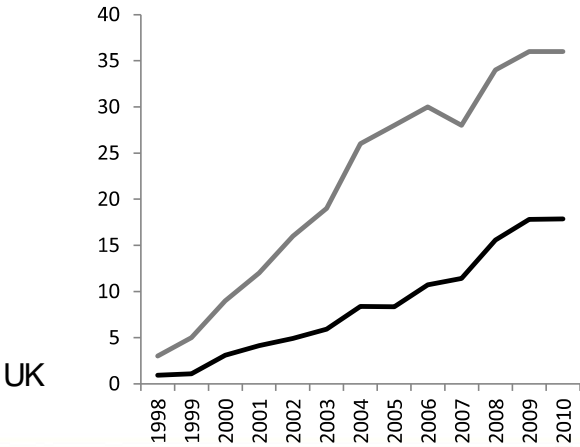
Article coming up in *Policy Studies Journal*.

[www.schoolofpublicpolicy.sk.ca](http://www.schoolofpublicpolicy.sk.ca)

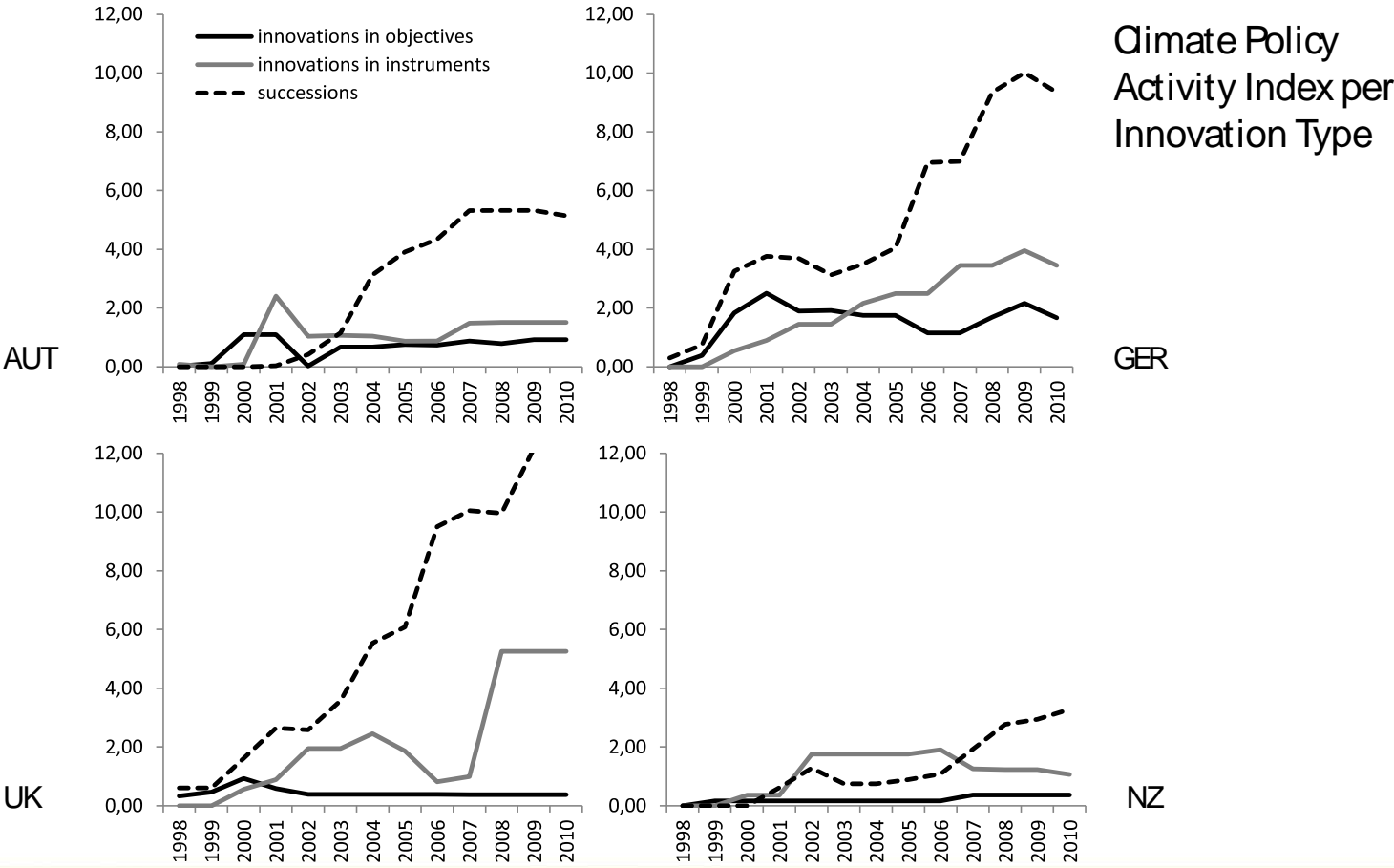
# First Findings: Comparing Austria, Germany, New Zealand and the UK



Climate Policy  
Activity Index vs.  
Density Approach  
/ Counting Policies



# First Findings: Comparing Austria, Germany, New Zealand and the UK



# Research Project 2: Analyzing the Impact of Policy Activity – Policy Innovations and the Energy Transition

## Research Question:

What is the impact of patterns of energy policy intensity and innovativeness on technological change towards low-carbon solutions?

## Cases & Data Set:

Climate Policy Activity (in the energy sector) in Austria, Canada, Germany, New Zealand and the UK

## Contribution:

- detailed assessment of the existing policy mixes: contributes to the empirical operationalization of policy mixes
- identifying processes of layering, drift and conversion
- effect of policy mixes on indicators of technological change in the energy production sector (such as share of renewable energy, R&D expenditures and patent registration)

# Thank You!

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